

David Weintraub's Articles and Speaking Engagements

Articles

Common Law Duties Owed by Financial Institutions, ActionLine, a Publication of the Florida Bar Real Property, Probate & Trust Law Section, Vol. XXXXVI, No. 3, page 22, Spring/Summer 2025

Documentary Stamp Taxes: When is a Written Obligation to Pay Money not a Written Obligation to Pay Money?
www.stockbrokerlitigation.com 2023

Preventing Financial Exploitation, New York State Bar Association Elder and Special Needs Journal, Winter 2019, Vol. 29, No. 1

FINRA Interference With Estate Planning, Broward County Bar Association Barrister, July 2018 and New York State Bar Association, Trusts and Estates Law Section Newsletter, Summer 2018

Spotting Financial Exploitation, The Elder Law Advocate, The Florida Bar, Winter 2018

Evaluating Professional Designations Utilized by Financial Advisors, The Elder Law Advocate, The Florida Bar, Summer 2017

When Does "No" Mean "Yes"? With Expungements, Of Course, New York State Bar Association Journal, March/April 2016

New Rules End FINRA "Whac-A-Mole" Strategy, Daily Business Review, 2014

Use Care When Referring Clients to Professionals, The Elder Law Advocate, 2013

Investment Industry Needs More Educational Requirements, Miami Herald, 2013

Avoiding Negligent Referrals to Rogue Stockbrokers, New York Law Journal, 2012

The Polygraph Examination - A Valuable Arbitration Tool, The Florida Bar Journal, 2009 and The Nebraska Lawyer, February 2009

When Do Statutes of Limitation Apply in Arbitration?, The Florida Bar Journal, 2007 and The Nebraska Lawyer, November/December 2007

Rogue Stockbrokers and the Duty to Warn, Action Line, a publication of The Florida Bar's Real Property, Probate & Trust Law Section, 2007 and the NATA Prairie Barrister, Fall 2007

Speaking Engagements

June 10, 2021 Co-Moderator, The Florida Bar Legal Needs of Children Committee, The Over-Representation of Minorities in Florida's Dependency System

November 13, 2020 Arbitration Advocacy Institute, Securities Mediation – 15 Tips and Anecdotes

August 13, 2020 The Florida Bar, Elder Law Section, Tricks of the Trade, Elder Exploitation Update

January 17, 2020 The Florida Bar, Elder Law Section Annual Update, Co-Moderator of Panel titled Combating Elder Exploitation

August 8, 2019 The Florida Bar, Elder Law Section, Tricks of the Trade, Avoiding the Negligent Referral

November 14, 2018 Financial Planning Association, Protecting Yourself in 2018 and Beyond

Speaking Engagements (Continued)

March 22, 2018 Florida Department of Health, Elder Exploitation 2018

December 1, 2017 SIFMA C&L Society and FSDA, Senior Investors Panel, panel member and co- author of hypothetical scenarios

October 12, 2017 The Sketchley Exploitation Conference, Stockbroker Exploitation 2017

January 14, 2017 The Florida Bar, Elder Law Section, Stockbroker Exploitation of the Elderly

June 18, 2016 The Florida Bar, Consumer Protection Committee Conference, Expungements and Ban the Box in Florida; presented at The Florida Bar's Annual Convention Seminar, "At the Corner of Technology and Consumer Law"

April 28, 2016 Financial Planning Association, Securities Arbitration Update

January 16, 2016 The Florida Bar, Elder Law Section, Avoiding the Negligent Referral

May 20, 2015 Financial Planning Association, Securities Arbitration Update 2015

March 16, 2015 Century Village Pembroke Pines, FL, How to Choose a Financial Advisor

October 21, 2014 University of Miami School of Law, Securities Mediation 2014

October 8, 2014 Florida Securities Dealers Association, Securities Arbitration from the Investor's Perspective

March 12, 2013 Ocala Estate Planning Counsel, Anatomy of Hedge Fund Fraud

July 18, 2012 Florida Institute of Certified Public Accountants, South Dade, Anatomy of Hedge Fund Fraud

June 6, 2012 Florida Institute of Certified Public Accountants, Broward County Chapter, Recipe for Securities Fraud

September 15, 2009 Financial Planning Association, Avoiding the Negligent Referral

June 19, 2009 Florida Bar Attorneys and Trust Officers Liaison Conference, Investing in 2009, Are You Smarter than a Fifth Grader?

March 26, 2009 SunTrust Bank, Investing in 2009, Are You Smarter than a Fifth Grader?

February 18, 2009 Financial Planning Association, Investing in 2009, Are You Smarter than a Fifth Grader?

August 16, 2008 New York State Bar Association, Elder Law Section, Avoiding the Negligent Referral

June 12, 2008 Florida Bar Attorneys and Trust Officers Liaison Conference, Investing in 2008, Are You Smarter than a Fifth Grader?

October 1, 1999 Northern Trust Bank, Securities Arbitration and the Intelligent Referral